SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
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obligations may c Instruction 1(b).		File	d pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940			hours per resp	8
1. Name and Addres Watzinger Ge	1 0	on [*]	2. Issuer Name and Ticker or Trading Symbol <u>CrowdStrike Holdings, Inc.</u> [CRWD]		all applicabl Director	,	10% Owner
(Last) (First) (Middle) C/O CROWDSTRIKE HOLDINGS, INC.		GS, INC.	3. Date of Earliest Transaction (Month/Day/Year) 09/28/2020		Officer (give title below)		Other (specify below)
150 MATHILDA PLACE, SUITE 300			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi Line)	dual or Join	t/Group Filing (Check Applicable
(Street) SUNNYVALE	СА	94086		X		by One Report by More than C	•
(City)	(State)	(Zip)					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class A common stock	09/28/2020		С		30,000	A	(1)	31,785 ⁽²⁾	D	
Class A common stock	09/28/2020		S		10,793	D	\$137.11 ⁽³⁾	20,992 ⁽²⁾	D	
Class A common stock	09/28/2020		S		7,066	D	\$137.89 ⁽⁴⁾	13 ,926 ⁽²⁾	D	
Class A common stock	09/28/2020		S		3,700	D	\$139.26(5)	10,226 ⁽²⁾	D	
Class A common stock	09/28/2020		S		6,864	D	\$140.06(6)	3,362 ⁽²⁾	D	
Class A common stock	09/28/2020		S		1,577	D	\$141.08(7)	1,785(2)	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(*-9-,	p,	,	-,		, .							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) Disp of (I	umber ivative urities juired or posed D) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		te of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Class B common stock	\$0	09/28/2020		С			30,000	(8)	(8)	Class A common stock	30,000	\$0	90,000 ⁽⁹⁾	D	
Class B common stock	\$0							(8)	(8)	Class A common stock	565,000		565,000	I	By Clavius Capital LLC ⁽¹⁰⁾

Explanation of Responses:

1. Class B common stock convert into Class A common stock on a one-for-one basis.

2. Includes shares to be issued in connection with the vesting of one or more restricted stock units ("RSUs").

3. This transaction was executed in multiple trades at prices ranging from \$136.54 to \$137.53. The price reported above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

4. This transaction was executed in multiple trades at prices ranging from \$137.54 to \$138.41. The price reported above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

5. This transaction was executed in multiple trades at prices ranging from \$138.61 to \$139.60. The price reported above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

6. This transaction was executed in multiple trades at prices ranging from \$139.62 to \$140.60. The price reported above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

7. This transaction was executed in multiple trades at prices ranging from \$140.63 to \$141.50. The price reported above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

8. Each share of Class B common stock is convertible at any time at the option of the Reporting Person into one share of Class A common stock and has no expiration date. All shares of Class B common stock will automatically convert into shares of Class A common stock upon the occurrence of certain specified events.

9. All or a portion of the Class B common stock was issued in connection with the exercise of an unvested stock option subject to an early exercise provision, and as such, the unvested portion may be repurchased by the Company at the original exercise price paid by the Reporting Person for the shares.

10. The Reporting Person disclaims beneficial ownership of the shares except to the extent of his pecuniary interest in such shares.

Remarks:

All transactions were executed pursuant to a Rule 10b5-1 plan entered into by the Reporting Person. Excluded from this Form 4 report are 9,000 shares previously reported as indirectly beneficially owned by the Reporting Person based on his authority as co-trustee of the Icon Trust and 9,000 shares previously reported as indirectly beneficially owned by the Reporting Person based on his authority as co-trustee of the Icon Trust and 9,000 shares previously reported as indirectly beneficially owned by the Reporting Person based on his authority as co-trustee of the Nimbus Trust. Each of these trusts was amended and restated on September 5, 2020 to exclude the Reporting Person from decisions regarding the respective trust's investment in or disposition of the securities of the Issuer. The Reporting Person disclaims beneficial ownership of all shares held by these trusts.

/s/ Remie Solano, as Attorneyin-Fact for Gerhard Watzinger ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.