SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Black Colin	2. Date of Even Requiring State (Month/Day/Yea 06/11/2019	ment	3. Issuer Name and Ticker or Trac <u>CrowdStrike Holdings</u> ,		WD]		
(Last) (First) (Middle) C/O CROWDSTRIKE HOLDINGS, INC. 150 MATHILDA PLACE, SUITE 300 (Street) SUNNYVALE CA 94086 (City) (State) (Zip)			4. Relationship of Reporting Perso (Check all applicable) Director X Officer (give title below) CHIEF OPERATING	10% Owne Other (spe below)	r cify 6. In	dividual or Joint icable Line)	ate of Original Filed /Group Filing (Check y One Reporting Person y More than One erson
	Fable I - Noi	n-Derivat	ive Securities Beneficiall	y Owned			
1. Title of Security (Instr. 4)			. Amount of Securities ieneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership Instr. 5)	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
	g., pulo, ou	lis, warra	nts, options, convertible	securities	s)		
1. Title of Derivative Security (Instr. 4)	2. Date Exercised Expiration Date (Month/Day/Y	isable and	nts, options, convertible 3. Title and Amount of Securit Underlying Derivative Security	es	4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	2. Date Exerc Expiration Da	isable and	3. Title and Amount of Securit Underlying Derivative Security	es	4. Conversion	Ownership	Beneficial Ownership
	2. Date Exerc Expiration Da (Month/Day/Y	tisable and ate (ear) Expiration	3. Title and Amount of Securit Underlying Derivative Security	Amount or Number of	4. Conversion or Exercise Price of Derivative	Ownership Form: Direct (D) or Indirect	Beneficial Ownership
1. Title of Derivative Security (Instr. 4)	2. Date Exerc Expiration Da (Month/Day/N Date Exercisable	Eisable and ate ('ear) Expiration Date	3. Title and Amount of Securit Underlying Derivative Security Title	es r (Instr. 4) Amount or Number of Shares	4. Conversion or Exercise Price of Derivative Security	Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Beneficial Ownership
1. Title of Derivative Security (Instr. 4) Class B common stock	2. Date Exerc Expiration Da (Month/Day/N Date Exercisable	Expiration Date	3. Title and Amount of Securit Underlying Derivative Security Title Class A common stock	Amount or Number of Shares 187,890	4. Conversion or Exercise Price of Derivative Security 0	Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Beneficial Ownership
Title of Derivative Security (Instr. 4) Class B common stock Restricted Stock Units	2. Date Exerc Expiration Da (Month/Day/Y Date Exercisable	Expiration Date (2) (1) (2)	3. Title and Amount of Securit Underlying Derivative Security Title Class A common stock Class B common stock	Amount or Number of Shares 187,890 50,000	4. Conversion or Exercise Price of Derivative Security 0 0	Ownership Form: Direct (D) or Indirect (I) (Instr. 5) D D	Beneficial Ownership

Explanation of Responses:

1. Each share of Class B common stock is convertible at any time at the option of the Reporting Person into one share of Class A common stock and has no expiration date. All shares of Class B common stock will automatically convert into shares of Class A common stock upon the occurrence of certain specified events.

2. The shares represent unvested restricted stock units ("RSUs") granted on September 25, 2018, with 25% of the RSUs vesting on September 20, 2019 and 1/16 of the RSUs vesting quarterly thereafter; provided that no RSUs will vest until the earlier of (A) a change in control of the issuer or (B) the first quarterly vesting date occurring following the expiration of the lock-up period established in connection with the issuer's initial public offering.

3. The stock options were granted on November 19, 2015 and 25% vested on November 9, 2016, with 1/48 of the remaining stock options vesting monthly thereafter for the following 36 months.

4. The stock options are subject to an early exercise provision and are immediately exercisable. They were granted on February 4, 2017 and 25% vested on December 26, 2017, with 1/48 of the remaining stock options vesting monthly thereafter for the following 36 months.

5. The stock options were granted on September 25, 2018 and vest in 48 equal monthly installments beginning on October 25, 2018.

Remarks:

Exhibit 24 - Power of Attorney

/s/ Remie Solano, as Attorney-06/11/2019 in-Fact for Colin Black

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints each of Cathleen Anderson, Remie Solano, Jim Sullivan and Mike Forman, as the undersigned's true and lawful attorneys-in-fact to:

- execute for and on behalf of the undersigned, in the undersigned's capacity as a reporting person pursuant to Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), and the rules thereunder of CrowdStrike Holdings, Inc. (the "Company"), Forms 3, 4 and 5, including any amendments thereto, in accordance with Section 16(a) of the Exchange Act;
- (2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5, complete and execute any amendment or amendments thereto and timely file such form with the United States Securities and Exchange Commission and the applicable stock exchange or similar authority; and
- (3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of any of such attorneys-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by any of such attorneys-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as any of such attorneys-in-fact may approve in the discretion of any of such attorneys-in-fact.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that any of such attorneys-in-fact, or the substitute or substitutes of any of such attorneys-in-fact, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 30th day of May, 2019.

Signature: /s/ <u>Colin Black</u> Print Name: Colin Black